



TraCR Cancellation Request Instructions

If you want to cancel your TraCRs (instead of selling them on the Chi-X Market), you need to decide how you will submit your Cancellation Request.

Option 1: You can submit your Cancellation Request directly to Link Market Services Limited (the Registrar). To do this, you need to follow instructions in option 1 below; or

Option 2: If you have an Authorised Broker, you can instruct your Authorised Broker to submit a Cancellation Request to DAIL on your behalf. To do this, you need to follow instructions in option 2 below. An Authorised Broker is a Stockbroker that has been approved by DAIL to submit Applications and Cancellation Requests. Not all Stockbrokers are Authorised Brokers. Your Stockbroker may be able to become an Authorised Broker. Ask your Stockbroker to call the TraCR information line (1300 551 346) to find out how to become an Authorised Broker.

Please note there are different fees associated with each option.

Option 1: How to submit your Cancellation Request directly to the Registrar

If you submit a Cancellation Request directly to the Registrar, you must pay the Cancellation Fee and the Process Fee to DAIL. Follow the five steps below and call the TraCR information line on 1300 551 346 if you have any questions.

Step 1

Complete the Cancellation Request Form by providing the following information:

- Section A. Complete the TraCR Holder name and address and provide the HIN (a unique Holder Identification Number which will begin with an 'X') or SRN (Security holder Reference Number which will begin with an 'I') for the TraCRs you want to cancel. You can find your HIN or SRN on the top right hand corner of a TraCR holding statement. These details must match the information recorded on the TraCR register.
- Section B. Insert the number of TraCRs and the Chi-X ticker symbol for the Series of TraCRs you want cancelled.
- Section C. You need to provide the name and contact details for the US broker/US custodian who will accept delivery of the Underlying Shares on your behalf.

You also need to provide their DTC Participant Number and DTC Participant Sub Account Number that they will use to accept the share transfer from DAIL. If you do not have an existing US brokerage account, you should consider the time and cost required to establish that account prior to submitting a Cancellation Request.

Section D. Provide your credit card details for payment of the Cancellation Fee and Process Fee.

Section E. Insert date and sign in accordance with the instructions on the Cancellation Request Form.

Step 2

Lodge your completed Cancellation Request Form with the Registrar, via mail or email, using the addresses provided on the Cancellation Request Form.

Step 3

Contact the Registrar (via phone 1300 551 346 or email tracr@linkmarketservices.com.au) to confirm your Cancellation Request has been received and ask them to provide you with a unique Cancellation Request transaction reference number. The Registrar will provide this number to you after they have checked your Cancellation Request Form and processed the Cancellation Fee and Process Fee.

Step 4

Provide your US Broker/US custodian (the person nominated in Step 1 section C) with the transaction reference number obtained in step 3 and instruct them to receive your Underlying Shares via a free-delivery to the following account.

DTC Participant Name: Deutsche Bank AG, New York Branch

DTC Participant Number: 2690

DTC Participant Sub-account Number: 153-02933-13

Transaction Reference Number: *reference number obtained from Registrar in Step 3 above*

***NOTE THAT WITHOUT THE INCLUSION OF THE TRANSACTION REFERENCE NUMBER ON THE DELIVERY INSTRUCTIONS FROM YOUR US BROKER/US CUSTODIAN, THE SHARE DELIVERY WILL BE REJECTED**

Step 5

Look for the delivery of your Underlying Shares in the relevant US brokerage account.

If you provided a HIN, you will receive a CHES holding statement showing your TraCRs have been cancelled.

If you provided a SRN, you will receive a TraCR issuer sponsored holding statement from DAIL showing your TraCRs have been cancelled.

Option 2: How to submit your Cancellation Request via your Authorised Broker

Step 1

Speak to your Authorised Broker about cancelling your TraCRs. If your Authorised Broker submits a Cancellation Request on your behalf, it must pay the Cancellation Fee to DAIL. Your Authorised Broker may pass the Cancellation Fee on to you and may also charge you other fees. You should ask your Authorised Broker what fees it will charge you.

You will need to provide your Authorised Broker with any information it requires to process the Cancellation Request.

Step 2

Your Authorised Broker will need to submit a Cancellation Request by logging into the following website www.adr.db.com/tracrs.

When they submit the Cancellation Request online your Authorised Broker will receive a unique transaction reference number. Your Authorised Broker will need to quote this number to DAIL when they are checking the progress of your Cancellation Request.

Step 3

Instruct your Authorised Broker to accept delivery of the relevant Underlying Shares on your behalf. If your Authorised Broker has any questions on how to accept delivery of the shares they should contact DAIL.

Step 4

Look for the delivery of your Underlying Shares in the relevant US brokerage account. You will also receive a CHES holding statement showing your TraCRs have been cancelled.